FTA Grant-Making Process

Arizona's 36th Annual Statewide Transit Conference

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FTA Region 9

AGENDA

1. Overview of FTA's Grant-Making Process

2. Region 9's Grant Application Development Toolkit

3. Frequently Encountered Issues

4. Additional Resources

Getting Started

- **TrAMS**
  https://www.transit.dot.gov/funding/grantee-resources/teamtrams/transit-award-management-system-trams

- **FY 2023 Certifications & Assurances**
  https://www.transit.dot.gov/funding/grantee-resources/certifications-and-assurances/certifications-assurances

- **FTA Grant Programs**
  https://www.transit.dot.gov/funding

- **FTA Grant Program Circulars**
  https://www.transit.dot.gov/regulations-and-guidance/fta-circulars/fta-circulars

- **FY 2023 Apportionments Tables**
  https://www.transit.dot.gov/funding/apportionments/current-apportionments

Grant Application Development Toolkit

Grant Application Development Toolkit

- FTA Region 9's **"Grant Application Development Toolkit"**
-- premiering at AzTA Conference in April 2023!

- This toolkit includes:

    o TrAMS Grant Application Example

    o Grant Development Checklists

    o Template language for TrAMS grant application entries

    o Funding Program Information (fund source codes, match ratio, etc.)

    o TrAMS Workflow Diagram

1 - Sample Application

- TrAMS "View-Print" version of a typical Sec 5307 grant application

- Pop-Outs for added emphasis

2 - Additional Instructions

- Provides Template Language for

  - ✓ Application Name/Title

  - ✓ Executive Summary

  - ✓ Project Name/Title

  - ✓ Project Description
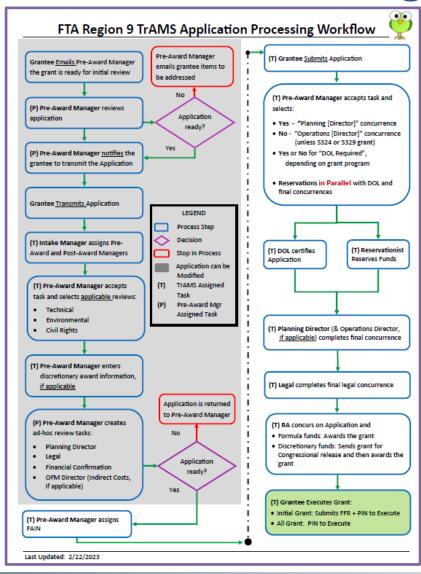
  - ✓ Activity Line Item – Extended Budget Description

3 - Application Development Checklist

- **Master Checklist** is color-coded by TrAMS Modules

- **Program-Specific Checklist** highlights special requirements

  - ✓ Section 5307 (Urbanized Area Formula Program)

  - ✓ Section 5310 (Enhanced Mobility for Seniors & Individuals with Disabilities)

  - ✓ Section 5311 – State DOT (Rural Area Formula Program)

  - ✓ Section 5311 Tribal Transit Program

  - ✓ Section 5337 (State of Good Repair Program)

  - ✓ Section 5339 (Bus & Bus Facilities Program)

4 – Funding Program Information

- Funding Source Code Table

- FTA "Regular" Programs

- FTA Capital Investment Grants (CIG) Program

- FHWA Flex Fund Table

- Sliding Scale Match Ratios

5 - TrAMS Workflow Diagram

FTA Region 9 TrAMS Application Processing Workflow

Frequently Encountered Issues

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Frequently Encountered Issues (Prerequisites)

1. Annual Certifications & Assurances (C&As) Submission

2. Sam Registration Expiration Date

3. Civil Rights Program Submissions

4. Points of Contact (Grantee, Labor Unions, etc.)

Frequently Encountered Issues (Application Summary)

1. Application Title/Name

2. Special Provisions for Executive Summary

3. Period of Performance

4. Indirect Cost

Frequently Encountered Issues (Project Level)

1. Planning/Project Plan Documents (i.e., Statewide Transportation Improvement Plan or **STIP**)

2. Project Benefits

3. Environmental Determination

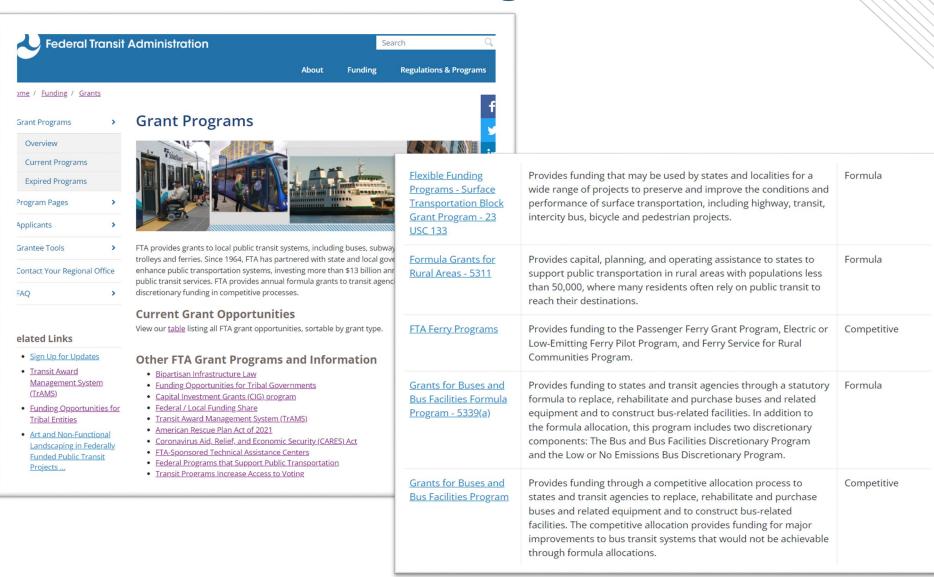# Frequently Encountered Issues (Activity Level)

1. Funding Source Selection

2. Match Ratio and Calculation

3. Adjustment Amount

4. Scope Code

5. ALI Code

6. Useful Life Information

7. 3rd Party Contractor Used?

8. 1% Security Expenditure and 0.75% Safety Expenditure
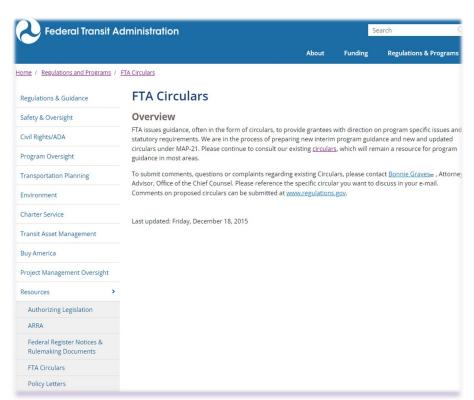
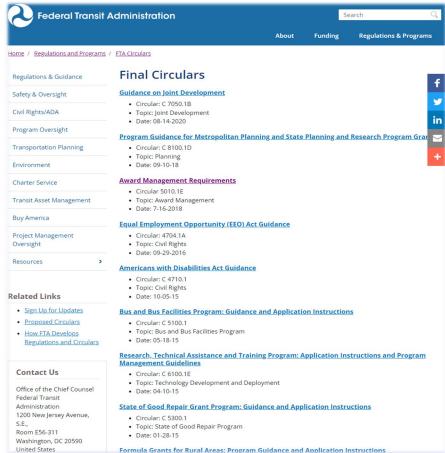9. Milestones (for Construction and Procurement)

Where to Look?

FTA Grant Programs

FTA Grant Program "Circulars"

"Cross-Cutting" Program Requirements

- Planning

- Legal, Financial, and Technical Capacity

- Annual Certifications and Assurances

- Civil Rights

- Environmental Review

- Procurement

- Safety

Resources

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Resources

- FY 2023 Apportionments Tables
https://www.transit.dot.gov/funding/apportionments/current-apportionments

- FY 2023 Certifications & Assurances
https://www.transit.dot.gov/funding/grantee-resources/certifications-and-assurances/certifications-assurances

- FTA Grant Programs
https://www.transit.dot.gov/funding

- USDOT Grant Programs
https://www.transportation.gov/grants

- Public Transportation Agency Safety Plan Requirements
Public Transportation Agency Safety Plans | FTA (dot.gov)

- Technical Assistance Resources
https://www.transit.dot.gov/funding/grants/fta-sponsored-technical-assistance-centers

- Buy America
https://www.transit.dot.gov/buyamerica

- Federal Register Notices and Rule-Making Documents
Notices and Rulemaking Documents | FTA (dot.gov)

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